

WHAT IS FORAS?

- Proactive risk assessment of flight operations
 - Example: approach & landing risk
- An expert system incorporates fuzzy theory



HOW IT LOOKS LIKE?



Flight List

				Normal	Warning	Alert	Data Incomplete	! Beyon	d Limits	Interrupted	Pro	cessing	
DRV	ALRV	Flt No	A/C No.	Fleet	Dep. Tin	ne(TPE)	DEP A/P	ARR A/P	Region	DRV	ALRV	Created Time	Recalc
0	(BR31	B16716	B777	2011/09/	23 20:00	ANC	TPE	THM	1.00	1.00	2011/09/22 17:35	
0		BR68	B16715	B777	2011/09/	23 17:25	BKK	TPE	THM	1.00	1.00	2011/09/22 15:00	
•		BR772	B16301	A330	2011/09/	23 14:15	TSA	SHA	THM	1.00	1.15	2011/09/23 11:48	
<u> </u>		BR855	B16405	B747	2011/09/	23 14:10	TPE	HKG	THM	1.00	1.00	2011/09/23 11:42	
0		BR392	B16701	B777	2011/09/	23 13:55	SGN	TPE	THM	1.00	1.00	2011/09/23 11:26	
<u> </u>		BR67	B16717	B777	2011/09/	23 13:50	BKK	LHR	EUR	1.00	1.00	2011/09/23 11:21	
0		BR868	B16410	B747	2011/09/	23 13:45	HKG	TPE	THM	1.00	1.22	2011/09/23 11:17	
		BR35	B16711	B777	2011/09/	23 13:30	YYZ	TPE	THM	1.22	1.00	2011/09/23 11:02	

WHO USES FORAS?

Senior Management
Strategic Planning

Safety Department

Safety Analysis
Trend Analysis
Safety Policy Formulation

Dispatchers/Crews

Flight risk awareness Mitigative actions

EXEMPLIFIED USAGES

- Senior Management
 - Risk due to inadequate crew rest policy?
 - Risk due to insufficient flight crews?
- Safety Department
 - Which risk factor is more critical?
 - Why has risk increased for sector SFO-JFK?
- Dispatchers
 - How will risk index change after a crew substitution?
- Flight Crews
 - Risk assessment for Flight 101?

IN THIS PRESENTATION

Background of FORAS

Methodology

Implementation

Ongoing development

Demonstration

BACKGROUND OF FORAS

 Initiated by Flight Safety Foundation ICARUS Committee in 1997

Contributors

- Dr. Michael Hadjimichael and colleagues, Naval Research Laboratory
- First implementation at EVA Airways
- Presented at the 60th IASS in 2007
- Relevant informationhttp://www.nrlmry.navy.mil/foras/



WHAT'S NEW IN THIS NEWLY IMPLEMENTATION?

Revision of risk models

A new system architecture

A GUI for risk modeling

Flexible parameters setting

Online analysis functions

Critical risk factors identification

SYSTEM ARCHITECTURE

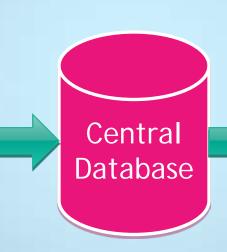
Backend System

GUI for risk modeling

System parameters setting

Inference engine

Model testing



Frontend System

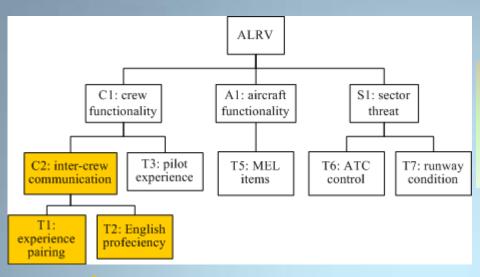
FORAS portal

Query function

Risk report

Risk analysis

CRFs identification



A. Risk break-down to a tree structure

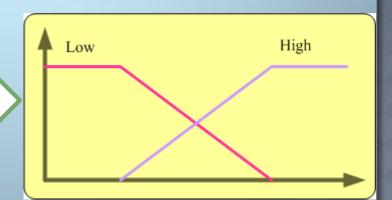
B. Relation described by rules:

If T1 is low and T2 is low, then C2 is 1

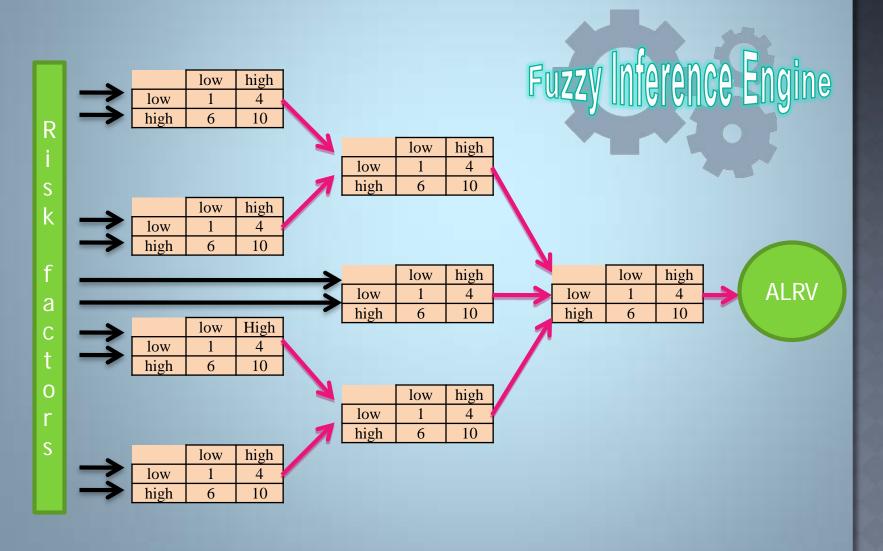
If T1 is low and T2 is low, then C2 is 1 If T1 is low and T2 is high, then C2 is 4

Group Decision Making

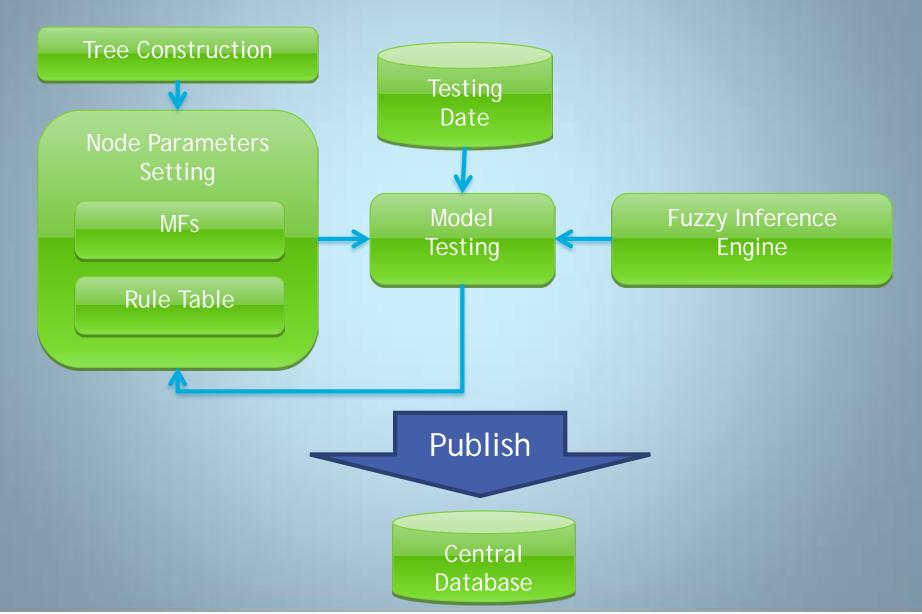
C. Quantification by membership functions



RISK ASSESSMENT INFERENCE PROCESS



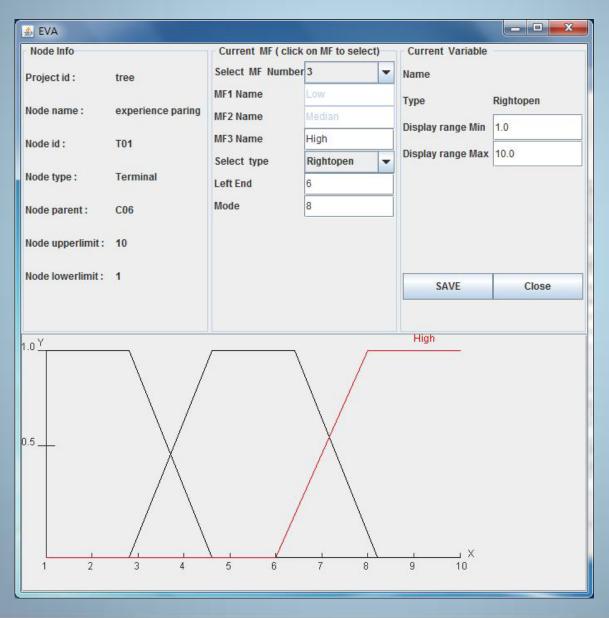
BACKEND SYSTEM



RISK MODEL: A TREE STRUCTURE

↑ ALRV				
File Edit Run Help				
∄ALRV-	•			
C1-Crew Functionality		Faun	Set MF >>	Set Rule >>
		Save	Set Mr >>	Set Kule >>
□ TC01-Experience Pairing	10	Parameter Info Eff	ect on Risk	
□ TC02-Rank Composition				
C5-Communication Proficiency	Ī			
□ TC03-English Proficiency	١.	Node id :		
☐ TC04-Nationality	,	vode id :		
	1	Node name :		
C12-Flying Amount				
— TC10-Sectors @ Rank	- 1	Node description:		
— TC11-Pre-EVA Experience				
_ TC12-EVA Sectors	,	Node type :	Termi	inal
TC08-In-type experience		rode gpe i		
☐ TC09-Flying Recency	١.			
	ı,	Lower limit :		
□ TC05-A/P Recency				
─ TC06-Special A/P	ı	Jpper limit :		
☐ TC07-Previous Visits				
	t	Unit:		
	-			
C13-Pre-workload	r	Data source id:		1
— TC13-Pre-Sectors in duty period	1	outu oource ia .		
TC14-Pre-Duty hour				
♀ ☐ C14-pre-flight Rest		1		
TC15-Body time of rest		Detailed description :		Upload
TC16-Pre-flight Rest hours				
C15-In-flight Rest C3 Annihabitis				
9 C16-Availability	1	nvalid condition :	Logic	Value
TC19-Crew Size TC20-Bunks				
			-SELECT-	-
TC17-Duty hours				
TC18-In-Flight Rest hour				
C11-Cumulative Fatigue C17-Work Amount		Attribute :	 Controllable 	O Uncontrollable
C19-Previous flying				
Ŷ ☐ C20-Pre-7 days	ш.	Missing data :	 Acceptable 	O Unacceptable
TC24-Pre-7 days Flying Amount	ш	mssmy data .	Acceptable	Onacceptable
C23-Pre-7 days body stress				
TC27-7-TZ changed			Default value	4
TC28-7-AVG TZ change				
TC29-7-Largest TZ change				
9 C21-Pre-30 days				
TOOS Dec 20 days Ships Impared	Ų.			

MEMBERSHIP FUNCTIONS SETTING



RULES SETTING

🚣 Rule Table	THE REAL PROPERTY.	and SER			8					X
- rule list	2 . if [T01 is 3 . if [T01 is 4 . if [T01 is 5 . if [T01 is 6 . if [T01 is	low] and [T02 is low low] and [T02 is me low] and [T02 is hig medium] and [T02 is medium] and [T02 is medium] and [T02 is	edium] and [low] a medium high]	nd[C1i nd[n] and	C1 is L s Low] C1 is L and [C1 [C1 is I	ow] the then [C ow] the l is Low Low] th	en [C0 06 is en [C0 w] ther en [C0	6 is 6][] 5][] 6 is 8][] n [CO6 is 5 06 is 4][]	10	
C1-Low (8 . if [T01 is 9 . if [T01 is	high] and [T02 is lo high] and [T02 is m high] and [T02 is hi	edium]	and [C1	C1 is I	_ow] th	en [C(06 is 5][] 8 4][]		
		T01-Low	10	•	6	-	5			
		T01-Median	8	•	5	-	4			
		T01-High	7	-	5	-	4	-		
Node id:	C06	AND THE PERSON NAMED IN COLUMN	nm level		Node t	ype:	R	isk		
Reset	Delete rule	Show rule	Save							Close

MODEL TESTING

<u>\$</u>							×			
	ATV_AIRLINE_DESIGNATOR_CODE	ATV_FLIGHT_NUMBER	ATV_FLIGHT_STARTDATE	ATV_START_AIRPORT_CODE	ATV_END_AIRPORT_CO	DDE ATV_FLEET	<u>-</u> ^			
○ Click							~			
S										
New Delete Import Save Calculate							el			

FRONTEND SYSTEM



PORTAL: REAL-TIME RISK REPORT



DETAILED REPORT



TREND ANALYSIS



CRITICAL RISK FACTORS

- To identify the risk factors that contribute to the risk value the most
- Idea
 - Those risk factors having greater marginal effects than the others are considered more critical

```
For i=1,...,n /* n risk factors in total */

Case
f_i \in LB
d_i = -\frac{r_{\max}(f_1,...,f_i + \Delta f_i,...,f_n) - r_{\max}(f_1,...,f_i,...,f_n)}{\Delta f_i};
f_i \in SB
d_i = -\frac{r_{\max}(f_1,...,f_i - \Delta f_i,...,f_n) - r_{\max}(f_1,...,f_i,...,f_n)}{\Delta f_i};
f_i \in N
d_i = -[r_{\max}(f_1,...,f_i^*,...,f_n) - r_{\max}(f_1,...,f_i,...,f_n)];
EndCase
Next \ i;
Rank \ d_i, \ \forall i;
```

TRIAL RUN

	PRAS Flight Operations Ris		3000		EVAAIR 2
	Kao 高紹傑			Main Page Interruption Report Job Status Administrat	or Help
CPI					
Run NodeII	Description			Value	
TA01	Approach and Landing Related MEL items	0			
TC01	Experience Pairing	5.50			
ГС02	Rank Composition	4			
ГC03	English Proficiency	5			
TC04	Nationality	1			
TC05	A/P Recency	0.20	0.60	0.23	
TC06	Special A/P	0	0	0	
ГС07	Previous Visits	110	69	23	
TC08	In-type experience	2815.90	2848.90	122.10	
ГС09	Flying Recency	4	19	8	
TC10	Sectors @ Rank	288	321	11	
ГС11	Pre-EVA Experience	344	267	300	
ГС12	EVA Sectors	903	834	11	
TC13	Pre-Sectors in duty period	0	0	0	
TC14	Pre-Duty hour	1	1	1	

INTERRUPTION REPORT



ADMINISTRATOR FUNCTION





GROUP DECISION MAKING PROCESS

ALRV



Pilots

Dispatchers

S1: sector A1: aircraft functionality functionality threat T3: pilot C2: inter-crew T5: MEL T6: ATC communication experience control T2: experience low High low T1 high

Safety Div.



Knowledge and experience elicitation

Maintenance Engineers



RUNNING OF FORAS

8007 flights were assessed between
 2011/08/11 ~ 2011/10/25

FORAS reports

Flight 001

©Crew :

Low risk value on fatigue, experience pairing, airport familiarization etc.

Sector:Good visibility,precision APP & no terrain.

●ALRV: 2.77

Flight Date: 2010	Flight No: BR	Sector:			
Fleet: A330-200 All Passenger	A/C No: B16302	ETD:	(GMT)	ETA:	(GMT)
Fleet: A330-200 All Passenger ALRV: 2.77 (12.37%) - Fleet Flight No & City Pair: 2 - Fleet Regional Average: 2.4 C0001-Crew Functionality: 3.51 (2.93) C0006-InterCrew Communication: 3. C0030-Multipilot Experience: 3.09 C0007-Crew Experience: 2.65 C0008-Airport Familiarity: 2.6 C0010-Flying Experience: 2. C0011-Aircraft Flying E C0002-PreEV C0024-PreV C0024-PreV C004-Tr Work Am C0014-TF Preflight C0015-TF Inflight R C0016-TF Inflight R C0016-TF Inflight R C0017-Inflight R C0018-Cumulative Fatir C0019-CF Rest: 5 C0022-CF Work: C0025-Pre C00 C0020-Pre	A/C No: B16302 2.47 39 /3.75 62/7.47 08 / 2.86 xperience : 1.00 / 1.00 ying Experience : 9.00 / 7.00 A A/C Flying Experience : 10.00 / 10.00 3 / 5.54 e : 5.03 / 4.69 nount : 1.00 / 1.00 Rest : 4.02 / 3.50 lest : 10.00 / 10.00 at Rest Availability : 10.00 set Quality : 8.24 / 8.24 gue : 5.66 / 5.74 5.00 / 5.00 3.88 / 4.18 lork Preflying : 6.82 / 6.81 v/days Workload : 5.82 / 5.16 25-Prev/30days Stress : 1.00 / 7.62 23-Prev/30days Stress : 1.00 /	S0001-Sector The S0002-Airport S0004-A S0003-Air S0002 S0002-Air S0002-Air S0002-Air S0002-Air S0002-Air S0002-Air S0003-En-rot S0003-E	reat: 2.87 (2 t Issues: 3.10 TC Functional 7-ATC & Cree 60010-ATC & 0008-Airport Ap 90014-Flying 90015-Approt 909-Airport En 90018-Wea 90021 S0022-Visu Airport Traffic 0011-Airport T tte Issues: Flying Ride Co	L53) ity: 4.93 w Communication Familiarity: Complexity: 3. proach Complexity of the Issues: 2. Precipitation -Wind or Turk al Conditions Congestion Erraffic Issues: 3.79 oncerns: 3.	ation Quality: 262/7.47 32 olexity: 2.45 of Approach: Complexity: 1.22 1: 20 i: 1.00 bulence : 1.00 Degree: 1.00
	bute to this high ALRV	(2) Action and Com	nments:		
			Prepared by:		
FSD reviews:					

FORAS Report

Print Date Time: 2010/11/1 14:02

^{*} FORAS Approach & Landing Risk Value(ALRV) is a calculated risk assessment of the subject flight and will be used and reviewed by duty dispatcher and management as a risk evaluation and risk mitigation tool.

^{*} The FORAS ALRV is from 1-10, lower value represent lower risk exposure.

Flight 002

©Crew :

Low risk value on crew pairing & experience, new airport to crew.

•A/C:

No MEL item.

Sector :

Low visibility with VOR approach.

●ALRV: 3.02

FUKAS Report									
Flight Date: 2010	Flight No: BR	Sector:							
Fleet: A330-200 All Passenger	A/C No: B16302	ETD:	(GMT)	ETA:	(GMT)				

ALRV: 3.02

- Fleet Flight No & City Pair: 2.47 Fleet Regional Average : 2.47

S0001-Sector Threat : 3 60 C0001-Crew Functionality: 3.62 C0006-InterCrew Communication: 3.39 S0002-Airport Issues: 4.55 S0004-ATC Functionality: 5.25 C0030-Multipilot Experience: 4.06 C0007-Crew Experience: 4.05 / 4.06 S0007-ATC & Crew Communication Quality: C0008-Airport Familiarity : 10.00 / 10.00 6.00 C0010-Flying Experience: 2.08 / 2.86 S0010-ATC & Crew Language Fluency: C0011-Aircraft Flying Experience: 1.00 / 1.00 6.25 C0003-Amount of Flying Experience: 1.00 / 1.00 C0008-Airport Familiarity 10 00 / 10 00 C0002-PreEVA Experience: 9.00 / 7.00 S0005-Airport Flying Complexity: 4.73 C0024-PreEVA A/C Flying Experience: 10.00 / 10.00 S0008-Airport Approach Complexity: 4.02 C0031-Multipilot Stress: 3.97 S0014-Flying Complexity of Approach: C0012-Stress: 3.97 / 3.97 C0009-Overall Fatique: 5.93 / 5.54 S0015-Approach Runway Complexity: C0005-Transient Fatigue: 5.03 / 4.69 6.00 C0004-TF Work Amount: 1.00 / 1.00 S0009-Airport Environment Complexity: 5.88 C0014-TF Preflight Rest: 4.02 / 3.50 S0018-Weather Issues: 4.33 C0015-TF Inflight Rest: 10.00 / 10.00 S0020-Precipitation: 6.00 C0016-TF Inflight Rest Availability: 10.00 S0021-Wind or Turbulence Warning: C0017-Inflight Rest Quality: 8.24 / 8.24 5.92 C0018-Cumulative Fatique: 5.66 / 5.74 S0022-Visual Conditions: 4.28 C0019-CF Rest: 5.00 / 5.00 S0006-Airport Traffic Congestion Degree: 1.00 C0022-CF Work: 3.88 / 4.18 S0011-Airport Traffic Issues: 1.00 C0021-CF Work Preflying: 6.82 / 6.81 S0003-En-route Issues: 3.79 C0025-Prev7days Worldoad: 5.82 / 5.16 S0013-Flying Ride Concerns: 3.47 C0026-Prev7days Stress: 1.00 / 1.00 C0020-Prev30days Workload: 7.00 / 7.62 C0023-Prev30days Stress: 1.00 / 4.45

A0001-A/C Functionality: 1.00

Comments from FCD:

(1) "Terminal Variables" Which contribute to this high ALRV

(2) Action and Comments:

Prepared by:

Print Date Time: 2010/11/1 14:02

FSD reviews:

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Flight 003

©Crew :

Medium risk value on fatigue and crew experience, etc.

One MEL item.

Sector:

Low visibility with VOR approach and A/P surrounding with terrain.

•ALRV: 3.59

FORAS Report Flight Date: 2010 Flight No: BR Sector: Fleet: A330-200 All Passenger A/C No: B16302 (GMT) (GMT) ETD: ETA: ALRV: 3.59 Fleet Flight No & City Pair : 2.47 Fleet Regional Average : 2.47 S0001-Sector Threat: 3.60 C0001-Crew Functionality: 5.06 C0006-InterCrew Communication: 3.39 S0002-Airport Issues: 4.55 C0030-Multipilot Experience: 4.06 S0004-ATC Functionality: 5.25 C0007-Crew Experience: 4.05 / 4.06 S0007-ATC & Crew Communication Quality: C0008-Airport Familiarity: 10.00 / 10.00 6.00 C0010-Flying Experience: 2.08 / 2.86 S0010-ATC & Crew Language Fluency: 6 25 C0011-Aircraft Flying Experience: 1.00 / 1.00 C0003-Amount of Flying Experience: 1.00 / 1.00 C0008-Airport Familiarity : 10 00 / 10 00 C0002-PreEVA Experience: 9.00 / 7.00 S0005-Airport Flying Complexity: 4.73 C0024-PreEVA A/C Flying Experience: 10.00 / 10.00 S0008-Airport Approach Complexity: 4.02 C0031-Multipilot Stress: 6.97 S0014-Flying Complexity of Approach : C0012-Stress: 6.90 / 7.07 C0009-Overall Fatique: 6.04 / 5.72 S0015-Approach Runway Complexity: C0005-Transient Fatique : 5.03 / 4.69 6.00 C0004-TF Work Amount: 1.00 / 1.00 S0009-Airport Environment Complexity: 5.88 C0014-TF Preflight Rest: 4.02 / 3.50 S0018-Weather Issues: 4.33 C0015-TF Inflight Rest: 10.00 / 10.00 S0020-Precipitation: 6.00 C0016-TF Inflight Rest Availability: 10.00 S0021-Wind or Turbulence Warning: C0017-Inflight Rest Quality: 8.24 / 8.24 5 92 C0018-Cumulative Fatigue: 7.95 / 8.06 S0022-Visual Conditions: 4.28 C0019-CF Rest: 9.27 / 9.27 S0006-Airport Traffic Congestion Degree: 1.00 C0022-CF Work: 6.17 / 6.48 S0011-Airport Traffic Issues: 1.00 C0021-CF Work Preflying: 6.82 / 6.81 S0003-En-route Issues: 3.79 C0025-Prev7davs Workload: 5.82 / 5.21 S0013-Flying Ride Concerns: 3.47 C0026-Prev7days Stress: 1.55/ 1.55 C0020-Prev30days Workload: 7.03 / 7.69 A0001-A/C Functionality: 1.00 C0023-Prev30days Stress: 2.03 / 4.83 Comments from FCD: (1) "Terminal Variables" Which contribute to this high ALRV (2) Action and Comments: Prepared by: FSD reviews:

FORAS Report

Flight Date: 2010 Flight No: BR Sector: Fleet: A330-200 All Passenger A/C No: B16302 ETD: (GMT) ETA: (GMT) ALRV: 2.77 (12.37%) - Fleet Flight No & City Pair: 2.47 - Fleet Regional Average: 2.47 C0001-Crew Functionality: 3.51 (2.93) S0001-Sector Threat: 2.87 (2.53) C0006-InterCrew Communication: 3.39 S0002-Airport Issues: 3.10 C0030-Multipilot Experience: 3.09 S0004-ATC Functionality: 4.93 C0007-Crew Experience: 2.65 / 3.75 S0007-ATC & Crew Communication Quality: C0008-Airport Familiarity: 2.62 / 7.47 3.02 C0010-Flying Experience: 2.08 / 2.86 S0010-ATC & Crew Language Fluency: C0011-Aircraft Flying Experience: 1.00 / 1.00 6.25 C0003-Amount of Flying Experience: 1.00 / 1.00 C0008-Airport Familiarity: 2.62 / 7.47 C0002-PreEVA Experience: 9.00 / 7.00 S0005-Airport Flying Complexity: 3.32 C0024-PreEVA A/C Flying Experience: 10.00 / 10.00 S0008-Airport Approach Complexity: 2.45 C0031-Multipilot Stress: 3.97 S0014-Flying Complexity of Approach: C0012-Stress : 3 97 / 3 97 2.92 C0009-Overall Fatigue: 5.93 / 5.54 S0015-Approach Runway Complexity: C0005-Transient Fatigue: 5.03 / 4.69 3.00 C0004-TF Work Amount: 1.00 / 1.00 S0009-Airport Environment Complexity: 1.27 C0014-TF Preflight Rest: 4.02 / 3.50 S0018-Weather Issues: 1.22 C0015-TF Inflight Rest: 10.00 / 10.00 S0020-Precipitation: 1.00 C0016-TF Inflight Rest Availability: 10.00 S0021-Wind or Turbulence C0017-Inflight Rest Quality: 8.24 / 8.24 Warning: 5.92 C0018-Cumulative Fatigue: 5.66 / 5.74 S0022-Visual Conditions: 1.00 C0019-CF Rest: 5.00 / 5.00 S0006-Airport Traffic Congestion Degree: 1.00 C0022-CF Work: 3.88 / 4.18 S0011-Airport Traffic Issues: 1.00 C0021-CF Work Preflying: 6.82 / 6.81 S0003-En-route Issues: 3.79 C0025-Prev7days Workload: 5.82 / 5.16 S0013-Flying Ride Concerns: 3.47 C0026-Prev7days Stress: 1.00 / 1.00 C0020-Prev30days Workload: 7.00 / 7.62 A0001-A/C Functionality: 1.00 (1.00) C0023-Prev30days Stress: 1.00 / 4.45 Comments from FCD: (2) Action and Comments: (1) "Terminal Variables" Which contribute to this high ALRV Prepared by: FSD reviews:

Print Date Time: 2010/11/1 14:02

FORAS Report

Flight Date: 2010	Flight No: BR		Sector:						
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Comments from FCD:									
(1) "Terminal Variables" Which contribu	te to this high ALRV	(2) Ac	ction and Comm	ents:					
			Prepared by:						
FSD reviews:						· · · · · · · · · · · · · · · · · · ·			

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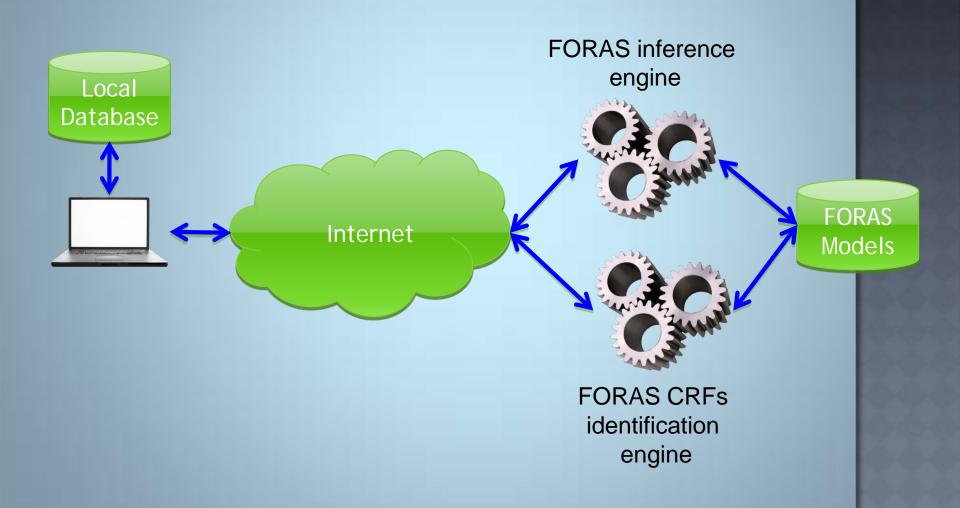
RESULTS

- Approach and Landing Risk Model
 - 53 base variables (risk factors), 39 rule sets (each rule set contains 4~27 rules)
- Departure Risk Model
 - 39 base variables, 30 rule sets
- A FORAS computer system that enables users to easily build any risk assessment model
- Real-time flight risk assessment report and online analysis

ONGOING DEVELOPMENT

- Analytic approaches for model validation
- Systematic approaches for group decision making process
- FORAS in a cloud computing architecture

CLOUD COMPUTING ARCHITECTURE IN DEVELOPING



THANK YOU!